

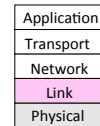
## Operating Systems and Networks

### Network Lecture 3: Link Layer (1)

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## Where we are in the Course

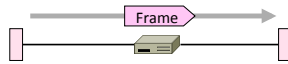
- Moving on to the Link Layer!



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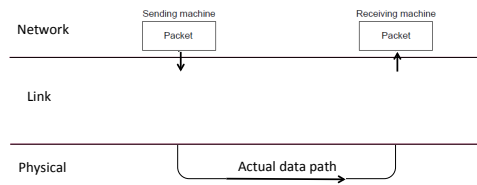
## Scope of the Link Layer

- Concerns how to transfer messages over one or more connected links
  - Messages are frames, of limited size
  - Builds on the physical layer



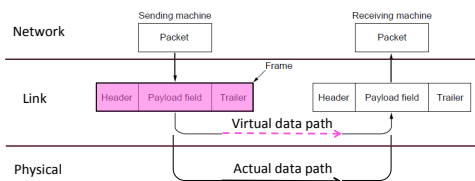
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## In terms of layers ...



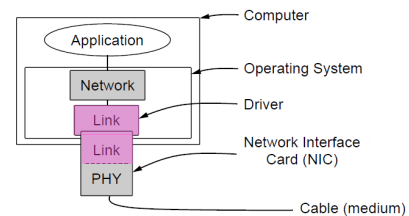
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## In terms of layers (2)



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## Typical Implementation of Layers



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## Topics

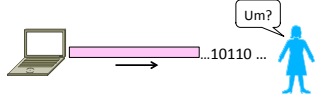
1. Framing
  - Delimiting start/end of frames
2. Error detection and correction
  - Handling errors
3. Retransmissions
  - Handling loss
4. Multiple Access
  - 802.11, classic Ethernet
5. Switching
  - Modern Ethernet

} Later

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## Framing (§3.1.2)

- The Physical layer gives us a stream of bits. How do we interpret it as a sequence of frames?



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## Framing Methods

- We'll look at:
  - Byte count (motivation)
  - Byte stuffing
  - Bit stuffing
- In practice, the physical layer often helps to identify frame boundaries
  - E.g., Ethernet, 802.11

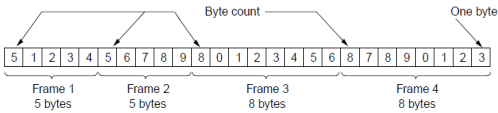
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## Byte Count

- First try:
  - Let's start each frame with a length field!
  - It's simple, and hopefully good enough ...

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## Byte Count (2)



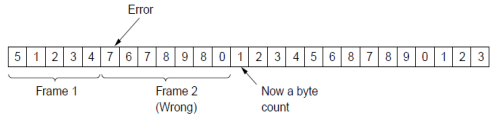
Frame 1: 5 bytes  
 Frame 2: 5 bytes  
 Frame 3: 8 bytes  
 Frame 4: 8 bytes

- How well do you think it works?

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## Byte Count (3)

- Difficult to re-synchronize after framing error
  - Want a way to scan for a start of frame



Frame 1: 5 bytes  
 Frame 2 (Wrong): 7 bytes  
 Now a byte count: 1 byte

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### Byte Stuffing

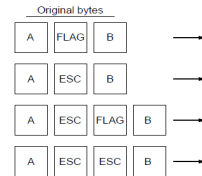
- Better idea:
  - Have a special flag byte value that means start/end of frame
  - Replace (“stuff”) the flag inside the frame with an escape code
  - Complication: have to escape the escape code too!



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### Byte Stuffing (2)

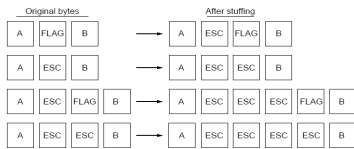
- Rules:
  - Replace each FLAG in data with ESC FLAG
  - Replace each ESC in data with ESC ESC



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### Byte Stuffing (3)

- Now any unescaped FLAG is the start/end of a frame



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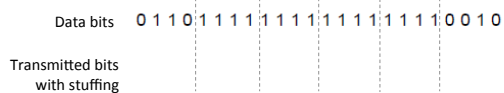
### Bit Stuffing

- Can stuff at the bit level too
  - Call a flag six consecutive 1s
  - On transmit, after five 1s in the data, insert a 0
  - On receive, a 0 after five 1s is deleted

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### Bit Stuffing (2)

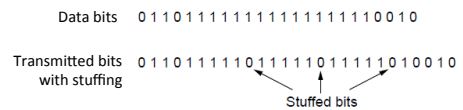
- Example:



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### Bit Stuffing (3)

- So how does it compare with byte stuffing?



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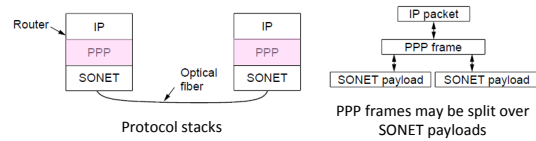
### Link Example: PPP over SONET

- PPP is Point-to-Point Protocol
- Widely used for link framing
  - E.g., it is used to frame IP packets that are sent over SONET optical links

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### Link Example: PPP over SONET (2)

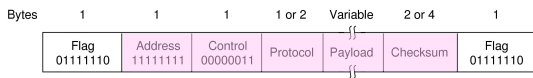
- Think of SONET as a bit stream, and PPP as the framing that carries an IP packet over the link



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### Link Example: PPP over SONET (3)

- Framing uses byte stuffing
  - FLAG is 0x7E and ESC is 0x7D



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### Link Example: PPP over SONET (4)

- Byte stuffing method:
  - To stuff (unstuff) a byte, add (remove) ESC (0x7D), and XOR byte with 0x20
  - Removes FLAG from the contents of the frame

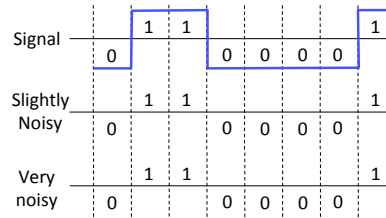
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### Error Coding Overview (§3.2)

- Some bits will be received in error due to noise. What can we do?
  - Detect errors with codes
  - Correct errors with codes
  - Retransmit lost frames ← Later
- Reliability is a concern that cuts across the layers – we'll see it again

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### Problem – Noise may flip received bits



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## Approach – Add Redundancy

- Error detection codes
  - Add check bits to the message bits to let some errors be detected
- Error correction codes
  - Add more check bits to let some errors be corrected
- Key issue is now to structure the code to detect many errors with few check bits and modest computation

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## Motivating Example

- A simple code to handle errors:
  - Send two copies! Error if different.
- How good is this code?
  - How many errors can it detect/correct?
  - How many errors will make it fail?

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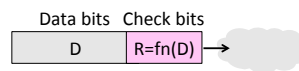
## Motivating Example (2)

- We want to handle more errors with less overhead
  - Will look at better codes; they are applied mathematics
  - But, they can't handle all errors
  - And they focus on accidental errors

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## Using Error Codes

- Codeword consists of D data plus R check bits (=systematic block code)

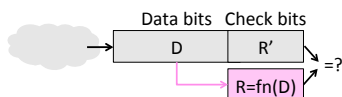


- Sender:
  - Compute R check bits based on the D data bits; send the codeword of D+R bits

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## Using Error Codes (2)

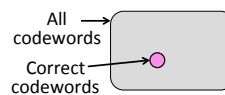
- Receiver:
  - Receive D+R bits with unknown errors
  - Recompute R check bits based on the D data bits; error if R doesn't match R'



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## Intuition for Error Codes

- For D data bits, R check bits:



- Randomly chosen codeword is unlikely to be correct; overhead is low

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## R.W. Hamming (1915-1998)

- Much early work on codes:
  - “Error Detecting and Error Correcting Codes”, BSTJ, 1950
- See also:
  - “You and Your Research”, 1986



Source: IEEE GHN, © 2009 IEEE

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## Hamming Distance

- Distance is the number of bit flips needed to change  $D+R_1$  to  $D+R_2$
- Hamming distance of a code is the minimum distance between any pair of codewords

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## Hamming Distance (2)

- Error detection:
  - For a code of Hamming distance  $d+1$ , up to  $d$  errors will always be detected

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## Hamming Distance (3)

- Error correction:
  - For a code of Hamming distance  $2d+1$ , up to  $d$  errors can always be corrected by mapping to the closest codeword

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## Error Detection (§3.2.2)

- Some bits may be received in error due to noise. How do we detect this?
  - Parity
  - Checksums
  - CRCs
- Detection will let us fix the error, for example, by retransmission (later)

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## Simple Error Detection – Parity Bit

- Take  $D$  data bits, add 1 check bit that is the sum of the  $D$  bits
  - Sum is modulo 2 or XOR

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## Parity Bit (2)

- How well does parity work?
  - What is the distance of the code?
  - How many errors will it detect/correct?
- What about larger errors?

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## Checksums

- Idea: sum up data in N-bit words
  - Widely used in, e.g., TCP/IP/UDP

1500 bytes    16 bits

- Stronger protection than parity

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## Internet Checksum

- Sum is defined in 1s complement arithmetic (must add back carries)
  - And it's the negative sum
- *"The checksum field is the 16 bit one's complement of the one's complement sum of all 16 bit words ..."* – RFC 791

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## Internet Checksum (2)

Sending:

1. Arrange data in 16-bit words
2. Put zero in checksum position, add
3. Add any carryover back to get 16 bit
4. Negate (complement) to get sum

```
0001
f203
f4f5
f6f7
```

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## Internet Checksum (3)

Sending:

1. Arrange data in 16-bit words
2. Put zero in checksum position, add
3. Add any carryover back to get 16 bits
4. Negate (complement) to get sum

```
0001
f203
f4f5
f6f7
+ (0000)
-----
2ddf0
  ↓
ddf0
+    2
-----
ddf2
  ↓
220a
```

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## Internet Checksum (4)

Receiving:

1. Arrange data in 16-bit words
2. Checksum will be non-zero, add
3. Add any carryover back to get 16 bits
4. Negate the result and check it is 0

```
0001
f203
f4f5
f6f7
+ 220a
-----
```

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### Internet Checksum (5)

Receiving:

1. Arrange data in 16-bit words
2. Checksum will be non-zero, add
3. Add any carryover back to get 16 bits
4. Negate the result and check it is 0

```

0001
f203
f4e5
f6f7
+ 220d
-----
2fffd
  ↓
ffffd
+
-----
ffff
  ↓
0000

```

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### Internet Checksum (6)

- How well does the checksum work?
  - What is the distance of the code?
  - How many errors will it detect/correct?
- What about larger errors?

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### Cyclic Redundancy Check (CRC)

- Even stronger protection
  - Given  $n$  data bits, generate  $k$  check bits such that the  $n+k$  bits are evenly divisible by a generator  $C$
- Example with numbers:
  - Message = 302,  $k$  = one digit,  $C$  = 3

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### CRCs (2)

- The catch:
  - It's based on mathematics of finite fields, in which "numbers" represent polynomials
  - e.g., 10011010 is  $x^7 + x^4 + x^3 + x^1$
- What this means:
  - We work with binary values and operate using modulo 2 arithmetic

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### CRCs (3)

- Send Procedure:
  1. Extend the  $n$  data bits with  $k$  zeros
  2. Divide by the generator value  $C$
  3. Keep remainder, ignore quotient
  4. Adjust  $k$  check bits by remainder
- Receive Procedure:
  1. Divide and check for zero remainder

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### CRCs (4)

Data bits: 1 0 0 1 1 | 1 1 0 1 0 1 1 1 1 1

1101011111

Check bits:

$C(x) = x^4 + x^1 + 1$

$C = 10011$

$k = 4$

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### CRCs (5)

Transmitted frame: 1 1 0 0 1 1 0 1 1 1 1 0 0 1 0

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### CRCs (6)

- Protection depend on generator
  - Standard CRC-32 is 1 0000 0100 1100 0001 0001 1101 1011 0111
- Properties:
  - HD=4, detects up to triple bit errors
  - Also odd number of errors
  - And bursts of up to k bits in error
  - Not vulnerable to systematic errors (i.e., moving data around) like checksums

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### Error Detection in Practice

- CRCs are widely used on links
  - Ethernet, 802.11, ADSL, Cable ...
- Checksum used in Internet
  - IP, TCP, UDP ... but it is weak
- Parity
  - Is little used

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### Error Correction (§3.2.1)

- Some bits may be received in error due to noise. How do we fix them?
  - Hamming code
  - Other codes
- And why should we use detection when we can use correction?

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### Why Error Correction is Hard

- If we had reliable check bits we could use them to narrow down the position of the error
  - Then correction would be easy
- But error could be in the check bits as well as the data bits!
  - Data might even be correct

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### Intuition for Error Correcting Code

- Suppose we construct a code with a Hamming distance of at least 3
  - Need  $\geq 3$  bit errors to change one valid codeword into another
  - Single bit errors will be closest to a unique valid codeword
- If we assume errors are only 1 bit, we can correct them by mapping an error to the closest valid codeword
  - Works for d errors if  $HD \geq 2d + 1$

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### Intuition (2)

- Visualization of code:

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### Intuition (3)

- Visualization of code:

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### Hamming Code

- Gives a method for constructing a code with a distance of 3
  - Uses  $n = 2^k - k - 1$ , e.g.,  $n=4, k=3$
  - Put check bits in positions  $p$  that are powers of 2, starting with position 1
  - Check bit in position  $p$  is parity of positions with a  $p$  term in their values
- Plus an easy way to correct [soon]

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### Hamming Code (2)

- Example: data=0101, 3 check bits
  - 7 bit code, check bit positions 1, 2, 4
  - Check 1 covers positions 1, 3, 5, 7
  - Check 2 covers positions 2, 3, 6, 7
  - Check 4 covers positions 4, 5, 6, 7

1	2	3	4	5	6	7
---	---	---	---	---	---	---

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### Hamming Code (3)

- Example: data=0101, 3 check bits
  - 7 bit code, check bit positions 1, 2, 4
  - Check 1 covers positions 1, 3, 5, 7
  - Check 2 covers positions 2, 3, 6, 7
  - Check 4 covers positions 4, 5, 6, 7

0	1	0	0	1	0	1	→
1	2	3	4	5	6	7	

$p_1 = 0+1+1 = 0, p_2 = 0+0+1 = 1, p_4 = 1+0+1 = 0$

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### Hamming Code (4)

- To decode:
  - Recompute check bits (with parity sum including the check bit)
  - Arrange as a binary number
  - Value (syndrome) tells error position
  - Value of zero means no error
  - Otherwise, flip bit to correct

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### Hamming Code (5)

- Example, continued

→  $\underline{0} \underline{1} \underline{0} \underline{0} \underline{1} \underline{0} \underline{1}$   
           1 2 3 4 5 6 7

$$p_1 = \quad \quad \quad p_2 =$$

$$p_4 =$$

Syndrome =

Data =

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### Hamming Code (6)

- Example, continued

→  $\underline{0} \underline{1} \underline{0} \underline{0} \underline{1} \underline{0} \underline{1}$   
           1 2 3 4 5 6 7

$$p_1 = 0+0+1+1 = 0, \quad p_2 = 1+0+0+1 = 0,$$

$$p_4 = 0+1+0+1 = 0$$

Syndrome = 000, no error

Data = 0 1 0 1

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### Hamming Code (7)

- Example, continued

→  $\underline{0} \underline{1} \underline{0} \underline{0} \underline{1} \underline{1} \underline{1}$   
           1 2 3 4 5 6 7

$$p_1 = \quad \quad \quad p_2 =$$

$$p_4 =$$

Syndrome =

Data =

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### Hamming Code (8)

- Example, continued

→  $\underline{0} \underline{1} \underline{0} \underline{0} \underline{1} \underline{1} \underline{1}$   
           1 2 3 4 5 6 7

$$p_1 = 0+0+1+1 = 0, \quad p_2 = 1+0+1+1 = 1,$$

$$p_4 = 0+1+1+1 = 1$$

Syndrome = 1 1 0, flip position 6

Data = 0 1 0 1 (correct after flip!)

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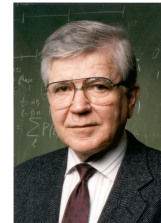
### Other Error Correction Codes

- Codes used in practice are much more involved than Hamming
- Convolutional codes (§3.2.3)
  - Take a stream of data and output a mix of the recent input bits
  - Makes each output bit less fragile
  - Decode using Viterbi algorithm (which can use bit confidence values)

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### Other Codes (2) – LDPC

- Low Density Parity Check (§3.2.3)
  - LDPC based on sparse matrices
  - Decoded iteratively using a belief propagation algorithm
  - State of the art today
- Invented by Robert Gallager in 1963 as part of his PhD thesis
  - Promptly forgotten until 1996 ...



Source: IEEE GHN, © 2009 IEEE

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### Detection vs. Correction

- Which is better will depend on the pattern of errors. For example:
  - 1000 bit messages with a bit error rate (BER) of 1 in 10000
- Which has less overhead?
  - It depends! We need to know more about the errors

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### Detection vs. Correction (2)

1. Assume bit errors are random
  - Messages have 0 or maybe 1 error
- Error correction:
  - Need ~10 check bits per message
  - Overhead:
- Error detection:
  - Need ~1 check bit per message plus 1000 bit retransmission 1/10 of the time
  - Overhead:

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### Detection vs. Correction (3)

2. Assume errors come in bursts of 100 consecutively garbled bits
  - Only 1 or 2 messages in 1000 have errors
- Error correction:
  - Need >>100 check bits per message
  - Overhead:
- Error detection:
  - Can use 32 check bits per message plus 1000 bit resend 2/1000 of the time
  - Overhead:

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### Detection vs. Correction (4)

- Error correction:
  - Needed when errors are expected
    - Small number of errors are correctable
  - Or when no time for retransmission
- Error detection:
  - More efficient when errors are not expected
  - And when errors are large when they do occur

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### Error Correction in Practice

- Heavily used in physical layer
  - LDPC is the future, used for demanding links like 802.11, DVB, WiMAX, LTE, power-line, ...
  - Convolutional codes widely used in practice
- Error detection (with retransmission) is used in the link layer and above for residual errors
- Correction also used in the application layer
  - Called Forward Error Correction (FEC)
  - Normally with an erasure error model (entire packets are lost)
  - E.g., Reed-Solomon (CDs, DVDs, etc.)

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